



# Online Workshop on Forest Certification for Goa Forest Department

## Modalities and Certification Procedure for NCCF Forest Management Certification Scheme - (*PEFC endorsed*)

Date:- 20<sup>th</sup> August 2020

Presented by:- Ms Taruna, Lead Forest Management Auditor and SDG member, NCCF Forest Management Standard Development Group (SDG)



# Who's Who?

## NCCF

- Develop & Revise standards
- Lay guidelines for certification and auditing ; Auditor Trainings
- NCCF and NABCB assess auditors/CB performance

## Certification Body

- Perform 3<sup>rd</sup> Party audit using NCCF FM standard
- issue certificate – 5ys validity and conducts annual audits to maintain certificate validity
- Accredited by National Accreditation Board for Certification Body (NABCB)

# Steps for initiating Forest Certification

1. Identify Forest Divisions with approved working plan -: Industry relevant spp.
2. Inform NCCF and seek assistance where required
3. Selecting a Certification Body for Audit
  1. Tender Process
  2. One-off basis
4. NCCF to share draft Tender document detailing technical requirements for bidder/CB
5. State Forest Department and NCCF
  1. Publish Tender on website
  2. Circulate Tender documents among accredited CBs
6. Setting up CB selection committee – representation from NCCF & NABCB to evaluate technical proposal received
7. Awarding Tender

# Audit Scoping for Forest Certification

The three type of FM Certificates available to organizations are:

**Single-FMU type; Multi-FMU type & Group Forest/Plantation Management Certification**

Certificate Type	Attributes	Remarks
<b>Single- FMU</b>	<ul style="list-style-type: none"> <li>Forest Management Entity (FME) manages and controls all forest management operations of a single Forest Management Unit (FMU)</li> </ul>	<ul style="list-style-type: none"> <li>Forest Division: owning and managing entire area in a Forest Division</li> <li>Single working plan &amp; administrative unit</li> <li>E.g. Bhamragarh Forest Division</li> </ul>
<b>Multi-FMU</b>	<ul style="list-style-type: none"> <li>Large forest management entity or enterprise that is managing multiple FMUs under same management objectives</li> <li>Easier and comparatively cheaper for large enterprises</li> </ul>	<ul style="list-style-type: none"> <li>Forest Corporation managing multiple Corporation divisions.</li> <li>Multiple working plans or multiple administrative units</li> <li>E.g. CCF Managing various Forest Divisions</li> </ul>
<b>Group Certification</b>	<ul style="list-style-type: none"> <li>Certification of a Group Managing entity (e.g. Forest Corporation) managing various group members (e.g. Forest Divisions managed by Forest Department)</li> </ul>	<ul style="list-style-type: none"> <li>Forest Corporation certifying various Forest divisions with shared responsibilities.</li> <li>Additional requirements of NCCF group certification standard to follow</li> </ul>

## Pre-Assessment

- Technical meeting, Selection of sites, Audit planning, Gap analysis – Report detailing areas of strength and weakness

## Stakeholder Consultation

- Consultation with various stakeholders; CB shall inform NCCF at least 45 days; NCCF shall release a **30 days** stakeholder announcement via NCCF Website

## Full Evaluation Audit

- Preparatory Communications and Document Review; Technical Meeting; Field Visits
- Visiting sites & locations – harvesting sites, new plantations, natural forest patches, ANR, nurseries, depots, water bodies, areas with conservation areas, JFMCs, primary processing unit. Wildlife corridors, tracking & tracing system for timber harvested, etc.
- Consultation with stakeholders, tribals, forest dwellers, workers, staff, etc.
- 5-6 days on field- depending upon NCCF audit man-days requirement as per forest area

## Reporting

- Convey evaluation results to applicant, peer reviewer, certification decision-making entity, stakeholders, and NCCF
- Submit Draft Report to client within **45 days** of audit for review
- Client to submit confirmation to CB on Draft Report within **30 days** of submission
- Final Report to client within **75 days** of date of audit.

## Non-Conformities and Corrective Action Requests

- CB records all conformities and nonconformities against requirements of NCCF-FM Standard; Major & Minor Nonconformities; Observations; Corrective Action Requests (CARs) raised

## Certification Decision

- Based on audit findings certification decision is taken; Certificate issued for 5 years Validity; NCCF/PEFC Logo Usage

## Surveillance Audit

- CB conducts 4 annual surveillance audits to maintain certificate validity

## Re-Certification

- Re-Certification before current certificate expires and after completion of a new certification contract

## Special Audits

- CB can carry out short-notice and unannounced audits, if required

## Suspending, withdrawing or reducing scope of certification

- CB shall conform with requirements of ISO/IEC 17065; CB shall advise NCCF in writing;

## Some Impact Findings & Status of Certification

- ✓ **UPFC** shown keen interest and got an area of 4.5 lakh ha in 41 forest divisions certified under **NCCF-PEFC FM Certification** recently. Now fetching benefits for levying 8-10% cess on certified products.
- ✓ **Tripura SFD** has also floated tenders and are reportedly negotiating with qualified CB.
- ✓ Tripura SFDC – certification of rubber plantations under process
- ✓ **Certification in India** has shown **positive impact** on management system improvement (e.g: UPFDC, Tripura and others in past)
- ✓ Certification has resulted in increase of revenue up to 8-10% as buyers are willing to pay for the certification brand
- ✓ Study in Indonesia has shown that a certified forest has 31% less air pollution and 5 % less deforestation.

# Certificate for UPFC



## CERTIFICATE



AWARDED TO

**UTTAR PRADESH FOREST CORPORATION (UPFC)**

Aranya Vikas Bhawan, 21/475, Indira Nagar, Lucknow (Uttar Pradesh)

For compliance with NCCF-STD-01 Forest Management Certification Standard

**CERTIFICATE CODE: GIPL-FM-0001**

Valid from: 13 February 2020

Expiry Date: 12 February 2023

Scope of Certificate: This certificate covers 41 Forest Divisions (450400.31 ha) (Refer addendum for names)

Applicable standard(s): NCCF-STD-01 Forest Management Certification Standard

Products/Species: Refer addendum

This certificate itself does not constitute evidence that a product supplied by the certificate holder is NCCF FM certified. Products offered, shipped or sold by the certificate holder can only be considered by the scope of this certificate when the certification claim is clearly stated on sales and delivery documents and the validity of the certificate and list of products included in scope can be found and verified on website. The certificate shall remain the property of GICIA India Pvt. Ltd. and this certificate and all copies or reproductions of this certificate shall be returned to GICIA India Pvt. Ltd. immediately upon request.

Authorized Signatory

Gajendra Kishore, CEO

Validity of this certificate may be checked with GICIA India Pvt Ltd.  
505, 5th Floor, Matrix Tower, B - 4, Sector - 132, Mohda - 201304 (U.P.), India  
Phone: 0520- 6750611/12

1. Use Addendum to list the sites
2. This certificate is valid for a period of 5 years subject to successful completion of annual Surveillance audits after the initial certification





# Thank You!!

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**Contact:**

Ms Taruna

Email: [taruna@gicia.org](mailto:taruna@gicia.org)

Email: [info@nccf.in](mailto:info@nccf.in)